



ORIGINAL FILED

PATENT  
Attorney Docket No. CASE-06816

11/18/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Evan Samuel Deneris *et al.*  
Serial No.: 10/027,859  
Filed: 10/25/01  
Entitled: Reagents And Methods For The Screening Of Compounds Useful In The Treatment Of Neurological Diseases  
Group No.: 1653  
Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

<p align="center"><b>CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)</b></p> <p>I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on <b>April 12, 2002</b>.</p> <p align="right">By: <u>Christopher J. Collins</u> Christopher J. Collins</p>
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Sir or Madam:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted (Form PTO-1533) mailed **February 14, 2002** (a copy of which is enclosed), applicants submit the following documents:

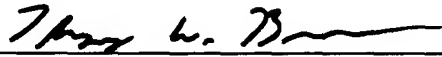
- ▶ Executed declaration or oath (Copy of Declaration from Patent Case in accordance with C.F.R. 1.63(d)(iv))
- ▶ an Assignment document (Copy of Assignment from Patent Case in accordance with C.F.R. 1.63(d)(iv)) for recording whereby the subject application and the invention disclosed therein is assigned to Case Western Reserve University, 10900 Euclid Avenue, Suite 300, Cleveland, Ohio 44195 and a Recordation Form Cover Sheet -- Patents Only (Form PTO-1595)
- ▶ Power of Attorney by Assignee
- ▶ 13 Sheets of Formal Drawings
- ▶ Preliminary Amendment
- ▶ Petition to Accept Color Drawings

A check in the amount of **\$235.00** is enclosed herewith to cover:

\$65.00 surcharge for filing missing parts of an application  
\$40.00 recordation fee  
\$130.00 petition to accept color drawings

The Commissioner, is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: April 12, 2002



Thomas W. Brown  
Registration No. 50,002

MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 305  
San Francisco, California 94105  
415/904-6500



APR 22 2002

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,859	10/25/2001	Evan Samuel Deneris	CASE-06816

CONFIRMATION NO. 1101

## FORMALITIES LETTER



\*OC000000007478139\*

MEDLEN & CARROLL, LLP  
Suite 350  
101 Howard Street  
San Francisco, CA 94105

Date Mailed: 02/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/23/2002 NMCHAMM1 00000067 10027859

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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